

Guarantee Advise Cancellation - Islamic User Guide

# **Oracle Banking Trade Finance Process Management**

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Oracle Banking Trade Finance Process Management - Guarantee Advise Cancellation - Islamic User Guide  
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# 1. Preface

## 1.1 Introduction

This user manual is designed to help you quickly get acquainted with Guarantee Advise Cancellation - Islamic process in Oracle Banking Trade Finance Process Management.

## 1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

## 1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

## 1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

## 1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

## 1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

## 1.7 Conventions

The following text conventions are used in this document:

Convention	Meaning
<b>boldface</b>	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

## 1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

## 1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

---

## 2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

### 2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

### 2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

### 2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

### 3. Guarantee Advise Cancellation - Islamic

As part of Conventional Guarantee Advise Cancel, System enables the user to cancel the Guarantee which had been already Advised.

The various stages involved for Guarantee Advise Cancel are:

- Receive and verify documents and Input basic details- Registration stage
- Upload of related mandatory and non-mandatory documents
- Input/Modify details of Cancel of Guarantee - Data Enrichment stage
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Advise Cancel process flow is similar to that of conventional Guarantee Advise Cancel process flow.

This section contains the following topics:

[3.1 Common Initiation Stage](#)

[3.2 Registration](#)

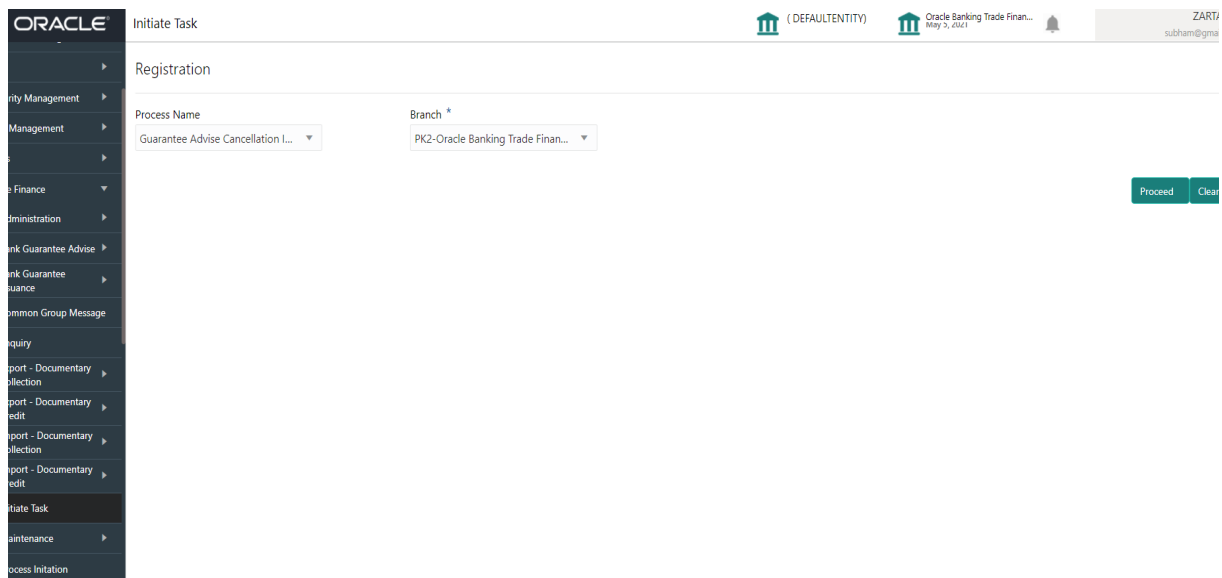
[3.3 Data Enrichment](#)

[3.4 Multi Level Authorization](#)

#### 3.1 Common Initiation Stage

The user can initiate the Islamic Guarantee Advise Cancellation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.

Field	Description
Branch	Select the branch.

### 3.1.0.1 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## 3.2 **Registration**

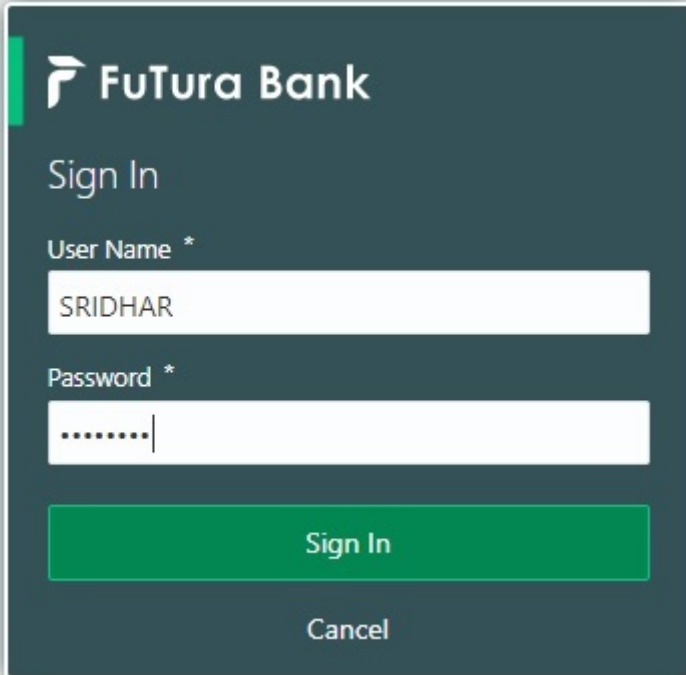
The first stage of Guarantee Advise Cancellation process starts from the Registration Stage. User can register a request for an Islamic Guarantee Advise Cancel received at the front desk (as an application received physically/received by mail/fax). During registration (Ref Fig-2), I will capture the basic details of the Cancel application, check the signature of the applicant and upload related documents. On submit of the Cancel request, the customer should be notified with acknowledgment and the request should be available for the Guarantee expert to handle in the next stage. The OBT FPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBT FPM verifies the field 21 and 26E ( of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBT FPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

The user has the option to submit, hold, save and hold and cancel the application

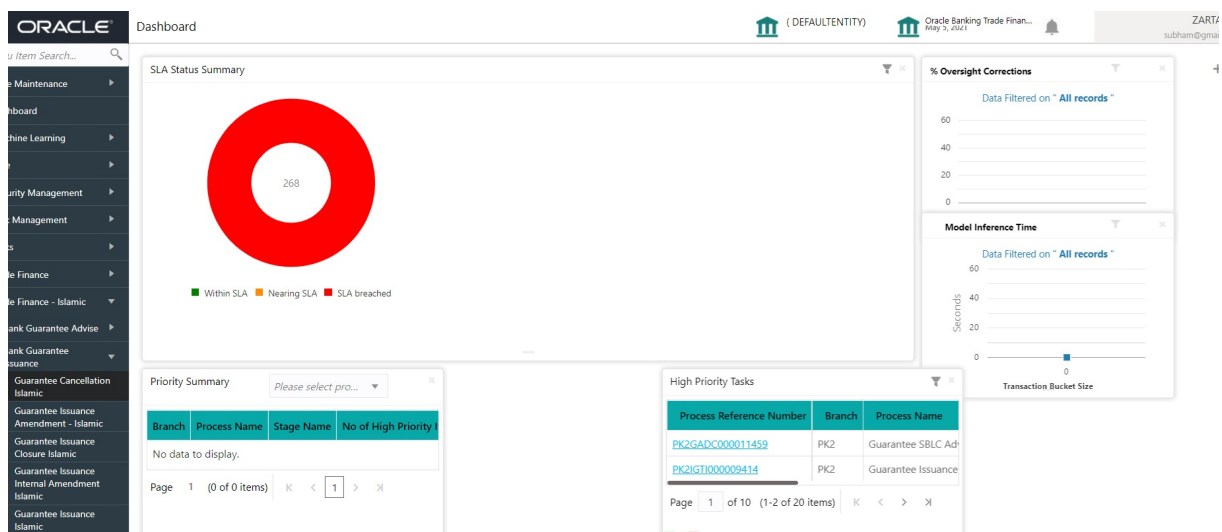


1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the 'FuTura Bank' login interface. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the text 'SRIDHAR' and 'Password \*' with masked characters. A green 'Sign In' button and a 'Cancel' button are at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.
3. Click **Trade Finance - Islamic > Bank Guarantee Advise > Guarantee Advise Cancellation - Islamic**.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the details of Registration screens below:

### 3.2.1 Application Details

**ORACLE** (DEFAULT ENTITY) Oracle Banking Trade Finance April 20, 2022 ATEST11

**Guarantee Advise Cancellation - Islamic** Documents Remarks Customer Instruction

**Application Details**

Issuing Bank Reference Number: K2GUAJ221104505

Beneficiary: 001044 GOODCAR

Branch: PK2-Oracle Banking Trade Fina

Priority: Medium

Amendment Number: 2

Process Reference Number: PK2IGAD000008804

Issuing Bank: 001041 WELLS FAF

Cancellation Date: April 20, 2022

**Guarantee Details**

Form of Undertaking: GAR - Guarantee

Undertaking Amount: AED 2,000.00

File Identification:

Type of Undertaking: BILL - Bill of lading

Expiry: April 20, 2025

Expiry Through Bank:

20 - Undertaking Number:

Amount in Local Currency: GBP £476.19

23X - Narrative:

22K - Narrative: Bill of Lading Guarantee

35G - Expiry Condition/Event:

30D - Additional Amounts:

Product Code: GUAJ

User Reference Number: K2GUAJ221104505

40C - Applicable Rules: URDG - Uniform rules for dema

30 - Date of Issue: April 20, 2022

Applicant: 001043 MARKS AN

Beneficiary Consent Required:

Product Description: Islamic Export LC - advising of Guar

22A - Purpose of Message: Advice of amendment to issuer

40C - Narrative:

23B - Expiry Type: OPEN

51- Obligor/ Instructing Party:

Hold Cancel Save & Close Sub

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	<p>The user can input the Advising Reference of the Guarantee to be cancelled.</p> <p>Alternatively, user can search the Advising Bank Reference Number using LOV.</p> <p>As part of look up search criteria; user can input the Advising Bank Reference Number, Beneficiary, Currency, Amount, Product Code and User Reference Number.</p>	
Beneficiary	<p>Read only field.</p> <p>System will default the name of the customer as available in Guarantee Advise.</p>	Toggle off
Branch	<p>Read only field.</p> <p>System will default the branch from Guarantee Advise.</p>	
Priority	<p>System will default the Priority as Low/Medium/High based on maintenance.</p> <p>If no priority is maintained, system defaults the priority as Medium.</p> <p>The user can change the priority.</p>	High

Field	Description	Sample Values
Submission Mode	Submission mode of GuaranteeCancellation request. By default the submission mode will have the value as 'Desk'.  <b>Desk-</b> Request received through Desk <b>Courier-</b> Request received through Courier The user can change the submission mode.	Desk
Amendment Number	Read only field.  Unique Amendment sequence number defaults from the back office.	
Process Reference Number	Read only field.  Unique sequence reference number for the transaction.  This is auto generated by the system based on process name and branch code.	203GTEIS S00000113 4
Issuing Bank	Read only field.  Issuing Bank Name defaults from the Guarantee Advise details.	
Cancellation Date	Read only field.  By default, the application will display branch's current date. User can change the date to back date, future date is not allowed.	

### 3.2.2 Guarantee Details

The user can view the latest LC values defaulted in the respective fields. All fields displayed in Guarantee details section are read only fields.

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Read only field.  Form of Undertaking defaults from Guarantee Advised.	

<b>Field</b>	<b>Description</b>	<b>Sample Values</b>
Undertaking Number	Read only field. Form of Undertaking number from Guarantee Advised.	
Product Code	Read only field. This field displays the product code defaulted from Guarantee Advised.	
Product Description	Read only field. This field displays the description of the product as per the product code available in Guarantee Advised.	
Undertaking Amount	Read only field. System defaults the outstanding value available in Guarantee Advised.	
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
User Reference Number	Read only field. System defaults the user reference number, depending on the selection of Advising Bank Reference Number.	PK2GUI12 11440001
Purpose of Message	Purpose of message defaults from Guarantee Advised. The user can change the purpose of message.	
File Identification	Read Only field. System will default the value available in Guarantee Advised.	
Narrative	Read Only field. System defaults the value available in Guarantee Advised.	
Applicable Rules	Read only field. This field displays the rules of the Guarantee Advised.	
Narrative	Read only field. System defaults the value available in Guarantee Advised.	

Field	Description	Sample Values
Type Of Undertaking	Read only field. System defaults the value available from Guarantee Advised details.	
Narrative	Read only field. System defaults the value available in Guarantee Advised.	
Date of Issue	Read only field. Application will default the branch's current date in date of issue. User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of registration.	04/13/18
Expiry Type	Read only field. System defaults the expiry type available in Guarantee Advised.	
Date of Expiry	Read only field. System defaults the expiry date available in Guarantee Advised.	
Expiry Condition/ Event	Read only field. System defaults the expiry condition available in Guarantee Advised.	
Applicant	Read only field. This system defaults the applicant name available in Guarantee Advised.	
Obligor/ Instructing Party	Read only field. This system defaults the value available in Guarantee Advised.	
Advice Through Bank	Read only field. System defaults the value available in Guarantee Advised.	
Additional Amounts	Read only field. Additional Amount Covered as per the latest LC details is displayed.	
Beneficiary Consent Required	<b>Toggle on:</b> Beneficiary consent required for cancellation. <b>Toggle off:</b> Switch off the toggle if beneficiary consent is not required for cancellation.	

### 3.2.3 Miscellaneous

Guarantee Advice Cancellation - Islamic

Documents Remarks Customer Instruction

Application Details

Advising Bank Reference Number  
PK2GUAJ211256002

Submission Mode  
Desk

Cancellation Date  
May 5, 2021

Beneficiary  
001044 GOODCARE PLC

Amendment Number  
1

Branch  
PK2-Oracle Banking Trade Finan...

Process Reference Number  
PK2IGAD000071793

Priority  
Medium

Issuing Bank  
001183 RABO BANK

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

22D - Form of Undertaking  
GUAI

32B - Undertaking Amount  
GBP £1,212.00

23X - Narrative

22K - Narrative

35G - Expiry Condition/Event  
ArunCond

39D - Additional Amounts

20 - Undertaking Number

Amount In Local Currency  
GBP £1,212.00

40C - Applicable Rules  
URDG - Uniform rules for dema...

30 - Date of Issue  
May 5, 2021

Applicant  
001043 MARKS AND SP

Beneficiary Consent Required

Product Code  
GUAI

22A - Purpose of Message  
Advice of amendment to issued ...

40C - Narrative

23B - Expiry Type  
COND

51 - Obligor/ Instructing Party

Product Description  
Islamic Export LC - advising of Guarant...

23X - File Identification

22K - Type of Undertaking  
OTHR - Other delivery channel

Date of Expiry  
Nov 2, 2021

Advise Through Bank

Hold Cancel Save & Close Submit

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.  System displays the mandatory and optional documents that are maintained in Document Maintenance. If mandatory documents are not uploaded, system should display an error on submit.	
Remarks	Provide any additional information regarding the Guarantee Advice Cancellation Islamic. This information can be viewed by other users processing the request.	
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> <li><b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li><b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
View Guarantee/SBLC	Clicking on View Guarantee/SBLC button enables user to view the details of the Guarantee/ SBLC.	
Guarantee/SBLC Events	Clicking on Events button enables the user to view the snapshot of various events under the Guarantee/SBLC details.	

#### Action Buttons

Field	Description	Sample Values
Submit	On Submit, system trigger advice to the customer and will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advice Cancellation Islamic. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	The task gets canceled and system should clear the Guarantee Advice Cancellation Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

### 3.3 Data Enrichment

As part of Data Enrichment, user can register and update the basic details of Guarantee Cancellation request. If the request is received by mail/Courier, the user should be able to update the request. In case the message is received by SWIFT, then the cancellation task needs to be auto created and available for the user to handle.

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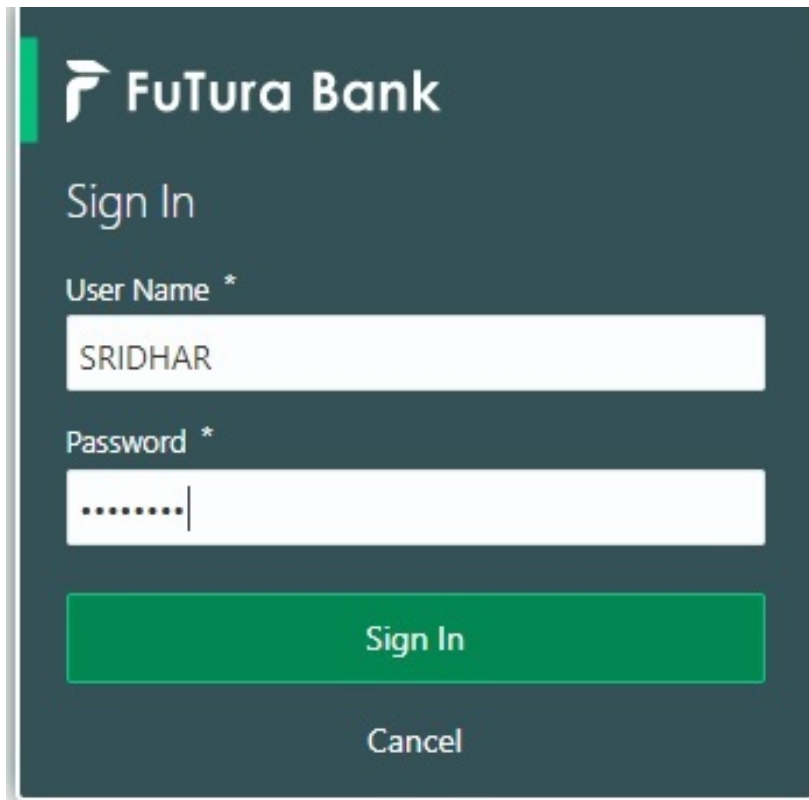
#### **Note**

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

---

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



**FuTura Bank**

## Sign In

User Name \*

SRIDHAR

Password \*

.....

**Sign In**

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.
3. Click **Tasks> Free Tasks**.

ORACLE

(DEFAULTENTITY)

Oracle Banking Trade Finance... April 20, 2022

ATEST11

Menu Item Search...

**Tasks**

Free Tasks

Refresh Acquire Flow Diagram

	Acquire and Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
<input type="checkbox"/>	Acquire and Edit	Medium	Islamic Guarantee Advise Canc...	PK2IGAD000008...	PK2IGAD000008804	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Transfer Amendment	PK2ELCT000008...	PK2ELCT000008779	Scrutiny	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Lodge Claim - Guarantee Issued	PK2GTEC000008...	PK2GTEC000008748	Approval Task Level 1	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee SBLC Issuance-Clai...	PK2GISC0000087...	PK2GISC000008746	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee SBLC Issuance-Clai...	PK2GISC0000087...	PK2GISC000008745	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Transfer Amendment	PK2ELCT000008...	PK2ELCT000008737	Scrutiny	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee SBLC Advised -Clai...	PK2GADC00000...	PK2GADC000008531	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee Issuance Islamic	PK2IGTI000008730	PK2IGTI000008730	Scrutiny	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee Issuance	PK2GTEI000008...	PK2GTEI000008623	Approval Task Level 1	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Amendment	PK2ELCA000008...	PK2ELCA000008588	Reject Approval	22-04-20

4. Select the appropriate cancellation task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.



<div> <div>ORACLE</div> <div> <div>(DEFAULT ENTITY)</div> <div>Oracle Banking Trade Finance...</div> <div>April 20, 2022</div> </div> <div>ATEST11</div> </div>							
<div> <div>Menu Item Search...</div> <div> <div>Tasks</div> <div>Free Tasks</div> <div>Completed Tasks</div> <div>Free Tasks</div> <div>Hold Tasks</div> <div>My Tasks</div> <div>Other User tasks</div> <div>Search</div> <div>SubProcess Tasks</div> <div>Supervisor Tasks</div> </div> </div>							
<div> <div>Free Tasks</div> <div> <div>Refresh</div> <div>Acquire</div> <div>Flow Diagram</div> </div> </div>							
<input type="checkbox"/>	Acquire and Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
<input type="checkbox"/>	Acquire and Edit	Medium	Islamic Guarantee Adv...	PK2IGAD000008804	PK2IGAD000008804	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Transfer A...	PK2ELCT000008779	PK2ELCT000008779	Scrutiny	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Lodge Claim - Guarant...	PK2GTEC000008748	PK2GTEC000008748	Approval Task Level 1	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee SBLC Issua...	PK2GISC000008746	PK2GISC000008746	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee SBLC Issua...	PK2GISC000008745	PK2GISC000008745	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Transfer A...	PK2ELCT000008737	PK2ELCT000008737	Scrutiny	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee SBLC Advis...	PK2GADC000008531	PK2GADC000008531	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee Issuance Isl...	PK2IGTI000008730	PK2IGTI000008730	Scrutiny	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee Issuance	PK2GTEI000008623	PK2GTEI000008623	Approval Task Level 1	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Amendment	PK2ELCA000008588	PK2ELCA000008588	Reject Approval	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Import LC Internal Am...	PK2ILCI000008584	PK2ILCI000008584	Handoff RetryTask	22-04-20

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

<div> <div>ORACLE</div> <div> <div>(DEFAULT ENTITY)</div> <div>Oracle Banking Trade Finance...</div> <div>April 20, 2022</div> </div> <div>ATEST11</div> </div>							
<div> <div>Menu Item Search...</div> <div> <div>Tasks</div> <div>Free Tasks</div> <div>Completed Tasks</div> <div>Free Tasks</div> <div>Hold Tasks</div> <div>My Tasks</div> <div>Other User tasks</div> <div>Search</div> <div>SubProcess Tasks</div> <div>Supervisor Tasks</div> </div> </div>							
<div> <div>My Tasks</div> <div> <div>Refresh</div> <div>Release</div> <div>Escalate</div> <div>Delegate</div> <div>Flow Diagram</div> </div> </div>							
<input type="checkbox"/>	Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
<input checked="" type="checkbox"/>	Edit	Medium	Guarantee Advise Inte...	PK2IGIA000008739	PK2IGIA000008739	Approval Task Level 1	22-04-20
<input type="checkbox"/>	Edit	Medium	Import LC Issuance	PK2ILCI000008774	PK2ILCI000008774	Registration	22-04-20
<input type="checkbox"/>	Edit	Medium	Import LC Issuance	PK2ILCI000008772	PK2ILCI000008772	Scrutiny	22-04-20
<input type="checkbox"/>	Edit	High	Import LC Issuance	PK2ILCI000008765	PK2ILCI000008765	Scrutiny	22-04-20
<input type="checkbox"/>	Edit	High	Import LC Issuance	PK2ILCI000008755	PK2ILCI000008755	Scrutiny	22-04-20
<input type="checkbox"/>	Edit	Medium	Guarantee Advise Inte...	PK2GTAI000008724	PK2GTAI000008724	Registration	22-04-20
<input type="checkbox"/>	Edit	Medium	Guarantee Advise Inte...	PK2GTAI000008723	PK2GTAI000008723	Registration	22-04-20
<input type="checkbox"/>	Edit	Medium	GuaranteeAdv Amend...	PK2IGAA000008718	PK2IGAA000008718	DataEnrichment	22-04-20
<input type="checkbox"/>	Edit	Medium	Import LC Issuance	PK2ILCI000008637	PK2ILCI000008637	Registration	22-04-20
<input type="checkbox"/>	Edit	Medium	Export Documentary ...	PK2EDCB000008625	PK2EDCB000008625	DataEnrichment	22-04-20
<input type="checkbox"/>	Edit	Medium	Import LC Internal Am...	PK2ILCI000008585	PK2ILCI000008585	Handoff RetryTask	22-04-20

The Guarantee Advise Cancellation - Data Enrichment stage has sections as follows:

- Main Details
- Guarantee Preferences
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Guarantee Advise Cancellation Data Enrichment stage.

User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

### 3.3.1 Main Details

A DE User can input new Guarantee Advise Cancel. As part of data enrichment, user will enter/update basic details of the incoming request. Main details section has two sub section as follows:

- Application Details
- Guarantee Details

#### 3.3.1.1 Application Details

Refer to [3.2.1 Application Details](#) section of [3.2 Registration](#) stage for more information of the fields.

Oracle Islamic Guarantee Advise Cancellation Data Enrichment :: Application No:- PK2IGAD000008804

Clarification Details Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Screen(1/7)

**Main**

**Application Details**

Advising Bank Reference Number: PK2GUA1221104505

Beneficiary: 001044 GOODCARI

Branch: PK2-Oracle Banking Trade Fina

Priority: Medium

Submission Mode: Desk

Amendment Number: 2

Process Reference Number: PK2IGAD000008804

Issuing Bank: 001041 WELLS FAF

Cancellation Date: April 20, 2022

**Guarantee Details**

22D - Form of Undertaking: DGAR - Guarantee

20 - Undertaking Number: 20

Product Code: GUAI

Product Description: Islamic Export LC - advising of Guar

32B - Undertaking Amount: AED 2,000.00

Amount in Local Currency: GBP E476.19

User Reference Number: PK2GUA1221104505

22A - Purpose of Message: Advice of amendment to issuer

23X - File Identification: 23X

23X - Narrative: 23X

40C - Applicable Rules: URDG - Uniform rules for dema

40C - Narrative: 40C

22K - Type of Undertaking: BILL - Bill of lading

22K - Narrative: Bill of Lading Guarantee

30 - Date of Issue: April 20, 2022

23B - Expiry Type: OPEN

Date of Expiry: April 20, 2025

35G - Expiry Condition/Event: 35G

51- Obligor/ Instructing Party: 51

Advise Through Bank: Advise Through Bank

39D - Additional Amounts: 39D

Applicant: 001043 MARKS AN

Beneficiary Consent Required: Beneficiary Consent Required

Buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, New

#### 3.3.1.2 Guarantee Details

The fields listed under this section are same as the fields listed under the [3.2.2 Guarantee Details](#) section in [3.2 Registration](#).

Guarantee Preference

**Guarantee Details**

22D - Form of Undertaking: DGAR - Guarantee

20 - Undertaking Number: 20

Product Code: GUAI

Product Description: Islamic Export LC - advising of Guar

32B - Undertaking Amount: AED 2,000.00

Amount in Local Currency: GBP E476.19

User Reference Number: PK2GUA1221104505

22A - Purpose of Message: Advice of amendment to issuer

23X - File Identification: 23X

23X - Narrative: 23X

40C - Applicable Rules: URDG - Uniform rules for dema

40C - Narrative: 40C

22K - Type of Undertaking: BILL - Bill of lading

22K - Narrative: Bill of Lading Guarantee

30 - Date of Issue: April 20, 2022

23B - Expiry Type: OPEN

Date of Expiry: April 20, 2025

35G - Expiry Condition/Event: 35G

51- Obligor/ Instructing Party: 51

Advise Through Bank: Advise Through Bank

39D - Additional Amounts: 39D

Applicant: 001043 MARKS AN

Beneficiary Consent Required: Beneficiary Consent Required

Buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, New

### 3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	

### 3.3.2 Guarantee Preference

A DE User can verify the Preference Data segment of Islamic Guarantee Advise Cancel.

Provide the Guarantee Preference based on the following table.

Field	Description	Sample Values
<b>Requesting Bank Details</b>		
Issuing Bank	Select the issuing Bank from the LOV.	
Reference	Indicates the reference number.	
Issue Date	Select the issue date.	
Sender to Receiver Information	Select the additional information for receiver from the LOV.	
<b>MT768- Acknowledgment Details</b>		
Terms and Conditions	Provide the terms and conditions.	
<b>Sender to Receiver Information</b>		
Sender to Receiver Information	Select the additional information for receiver from the LOV.	

#### 3.3.2.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	



Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	

### 3.3.3 Additional Fields

In this step system defaults the Additional details based on the Additional fields maintained in the system.

ORACLE (DEFAULTTENITY) Oracle Banking Trade Financ... April 20, 2022 ATEST11

Islamic Guarantee Advise Cancellation DataEnrichment :: Application No:- PK2IGAD000008804

Clarification Details Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main Guarantee Preference Additional Fields Advices Additional Details Settlement Details Summary

Additional Fields

Additional Fields

No Additional fields configured!

Request Clarification Reject Refer Hold Cancel Save & Close Back New

#### 3.3.3.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	



Field	Description	Sample Values
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	

### 3.3.4 Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level. A DE User can verify the advice details Data segment of Islamic Guarantee Advice Cancel request.

ORACLE (DEFAULTENTITY) Oracle Banking Trade Finance April 20, 2022 ATEST11

Islamic Guarantee Advice Cancellation DataEnrichment :: Application No:- PK2IGAD000008804

Clarification Details Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main Guarantee Preference Additional Fields Advices Additional Details Settlement Details Summary

Advices

Advice : LC\_CANCEL\_ADV  
Advice Name : LC\_CANCEL\_ADV  
Advice Party : BEN  
Party Name : GOODCARE PLC  
Suppress Advice : NO

Advice : PAYMENT\_MESSAGE  
Advice Name : PAYMENT\_MESSAGE  
Advice Party :  
Party Name :  
Suppress Advice : NO

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details

Advice Details  
Suppress Advice  
Party ID  
Party Name

Advice Name  
AMD\_EXP\_CR

Medium  
MAIL

Advice Party  
BEN

Party ID  
032204

Party Name  
Air Arabia




FFT Code


FFT Code	FFT Description	Action
29BNKCONTACT		

Instructions

Instruction Code	Instruction Description	Edit	Action
E202	. IN REIMBURSEMENT PLEASE TELE-REMIT THE FUNC		

OK Cancel

Field	Description	Sample Values
Suppress Advice	<b>Toggle on:</b> Switch on the toggle if advice is suppressed. <b>Toggle off:</b> Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	Read only field. Displays the advise name.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Party ID	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Free Format Text		
	Click plus icon to add new FFT code.	
FTT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click edit icon to edit any existing FFT code.	
Action	Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details.	
Instruction Details		
	Click plus icon to add new instruction code.	

Field	Description	Sample Values
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the Instruction code selected.	
	Click edit icon to edit any existing Instruction code.	
Action	Click Edit icon to edit the instruction details. Click Delete icon to delete the instruction details.	

### 3.3.4.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.  The user can view and input/view application details simultaneously.  When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	<p>Clicking on View Undertaking button enables user to view the details of the undertaking.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	

### 3.3.5 Additional Details

In the Additional details section, the user can verify/input/update the additional details data segment of the Islamic Guarantee/SBLC advise Cancellation request.

Guarantee cancellation may have impact on the Charges & Commission section.

#### 3.3.5.1 Limit and Collateral

The limits and collateral details are displayed as tile. The tiles displays a list of important fields with values.

## Note

For Guarantee Advising MT 760, user can input the values, applicable if Advising bank confirms undertaking.

**Limit & Collateral**

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	Edit	Delete
001044	Facility	001044	001044_US	1	100.00	AED	2000	Available	Balance available of GBP 399858934.07	001044	

Cash Collateral Details

Collateral Percentage

45.0

Collateral Currency and amount

AED

AED 900.00

Exchange Rate

1.0

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount In Account Currency	Account Balance Check Response	Response Message	Edit	Delete
1	GBP	PK20010440		55	495		VF	CY-9000 -	1	

Deposit Linkage Details

☐ Deposit Account

Deposit Currency

Deposit Maturity Date

Transaction Currency

Deposit Available In Transaction Currency

Linkage Amount(Transaction Currency)

View

No data to display.

Page 1 (0 of 0 items) |< < 1 > >|

Save & Close

Close

### 3.3.5.2 Limits Details

Limit Details

Customer Id

032204

Linkage Type \*

Facility

Contribution % \*

100.0

Liability Number \*

032204

Contribution Currency

AED

Line Id/Linkage Ref No \*

032204AED

Limit/Liability Currency

AED

Limits Description

Limit Check Response

Available

Amount to Earmark \*

AED 110.00

Expiry Date

Limit Available Amount

AED 0.00

Response Message

Balance available of AED 99994260148;

ELCM Reference Number


Verify

Save & Close

Close



Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Edit	Click edit link to edit the limit details.	
Limit Details Click View link to view the limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks View link.		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> <li>• Facility</li> <li>• Liability</li> <li>• </li> </ul> By default Linkage Type is "Facility".	
Contribution%	System will default this to 100% and user can modify. System will display an alert message, if modified.  Once contribution % is provided, system will default the amount.  System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.  <div style="border: 1px solid black; padding: 5px; margin: 10px auto; width: 80%;"> <p style="text-align: center;"><b>Note</b></p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."</p> </div>	
Liability Number	Click <b>Search</b> to search and select the Liability Number from the look-up.	
Contribution Currency	The guarantee currency will be defaulted in this field.	

Field	Description	Sample Values
Line ID/Linkage Ref No	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	
Limit/ Liability Currency	Limit Currency will be defaulted in this field.	
Limits Description	This field will display the description of the limits.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'. This field displays the value, if you click <b>Verify</b> button.	
Amount to Earmark	Amount to earmark will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. This field displays the value, if you click <b>Verify</b> button.	
Response Message	Detailed Response message. This field displays the value, if you click <b>Verify</b> button.	
ELCM Reference Number	This field displays the ELCM reference number.	
Below fields appear in the Limit Details grid along with the above fields.		
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Edit	Click the link to edit the Limit Details	
Delete icon	Click delete icon to delete the existing limit details.	

Provide the collateral details based on the description provided in the following table:

### Collateral Details

**Total Collateral Amount**

**Sequence Number**

**Collateral Contribution Amount**

**Settlement Account Currency**

**Contribution Amount in Account Currency**

**Response**

**Collateral Amount to be Collected**

**Collateral Split %**

**Settlement Account**

**Exchange Rate**

**Account Available Amount**

**Response Message**

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default.	
Exchange Rate	System populates the exchange rate maintained. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	


Click View link to view the collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
-------------------------	---	--

Field	Description	Sample Values
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency is auto populated by the system.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Field	Description	Sample Values
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Currency	The guarantee currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Account Balance Check Response	Response for account balance check is defaulted in this field.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

### Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/ modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details

Customer Id

091215

Deposit Account

PK2CDP1221100002

Deposit Branch

PK2

Deposit Available Amount

AED 87,508.00

Deposit Maturity Date

Exchange Rate

Deposit Available In Transaction Currency

Linkage Percentage % \*

45.00

Linkage Amount(Transaction Currency) \*

AED 450.00


Save & Close

Close

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Customer Id	Customer ID is defaulted from the system. User can change the customer ID.	

Field	Description	Sample Values
Deposit Account	Click <b>Search</b> to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value.  System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	

Below fields appear in the **Deposit Details** grid along with the above fields.

Deposit Currency	The currency will get defaulted in this field.	
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	
Edit Link	Click edit link to edit any existing deposit Details.	

### 3.3.5.3 Commission, Charges and Taxes Details

After Advices, click on Next button and on landing the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the

product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Large Details

Recalculate

Redefault

Commission Details

ent

ent Description

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Acct	Amendable
No data to display.										
Page 1 (0 of 0 items) < 1 > >>										

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCGCLM	AED	89000	GBP	£50.00		<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	Air Arabia	0322040001

Page 1 of 1 (1 of 1 items) < 1 > >>

Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
No data to display.							

Save & Close

Close

### 3.3.5.4 Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.  This field is disabled, if 'Defer' toggle is enabled.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/commission.  Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.  This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	
Amendable	Displays if the field is amendable or not.	

### 3.3.5.5 Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same is updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.  The user can not select/de-select the check box if it is de-selected by default.  This field is disabled, if 'Defer' toggle is enabled.	



Field	Description	Sample Values
Defer	Charges can not be deferred further.  On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.  The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected.  Based on the customer maintenance, the charges should be marked for Billing or for Defer.  This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary.	
Settlement Account	Details of the settlement account.	

### 3.3.5.6 Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Settlement Account	Details of the settlement account.	

### 3.3.6 Preview Message

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

Based on the Guarantee Cancellation details captured in the previous screen, the preview message simulated from the Back Office and the user can view the message.

Preview Message

Preview - SWIFT Message

Language

English

Message Type

210

Message Status

ETERNAL UNGENERATED

Repair Reason

Preview - Mail Advice

Language

English

Advice Type

DEBIT\_ADVICE

Message Status

GENERATED

Repair Reason

Preview Message

Preview Message

DEBIT\_ADVICE/TAX\_INVOICE

DATE: 03-AUG-23

PAGE : 1

BRANCH ID:

BRANCH NAME:

BANK TRN: 100282764800003

TRANS TIME:

Air Arabia

Air Arabia

gopinath.subramanian@oracle.com;CC;shahul.ha.hameed@oracle.com

Debit Advice

Save & Close Close

Field	Description	Sample Values
Preview SWIFT Message		
Language	Read only field. English is set as default language for the preview	
Message Type	Select the message type.	
Message Status	Read only field. Display the message status of draft message of guarantee details.	
Repair Reason	Read only field. Display the message repair reason of draft message of guarantee details.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Read only field. English is set as default language for the preview	
Advice Type	Select the advice type.	

Field	Description	Sample Values
Message Status	Read only field. Display the message status of advice message of guarantee details.	
Repair Reason	Read only field. Display the message repair reason of advice message of guarantee details.	
Preview Message	Display a preview of the advice.	
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	
Response Date	Customer Response received date.	
Default Email list	Default email address of the customer.	
Add Recipients	Enables to add more recipients for the customer response.	

### 3.3.6.1 **Action Buttons**

#### **Email** - Request received through Email

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.  The user can view and input/view application details simultaneously.  When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	

Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBT FPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	

### 3.3.7 Settlement Details

In the Settlement details section, the user can verify and enter the settlement details data segment of the Islamic Guarantee/SBLC advise Cancellation request.

ORACLE

(DEFAULT ENTITY)

Oracle Banking Trade Finance...  
April 20, 2022

ATEST11

Islamic Guarantee Advise Cancellation Data Enrichment ::  
Application No:- PK2IGAD000008804

Clarification DetailsDocumentsRemarksOverridesCustomer InstructionIncoming MessageView Undertaking

MainGuarantee PreferenceAdditional FieldsAdvicesAdditional DetailsSettlement DetailsSummary

Settlement Details

☐ Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
CLAIM_CUST_AMT	AED	Debit	PK20010410027	WELLS FARGO LA	USD	No	No
COLLAMT_OSEQ	AED	Credit	PK20010440017	GOODCARE PLC	GBP	No	Yes
COLLAMNDAMTEQ	AED	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLLAMTEQ	AED	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLLAMT_DECR	AED	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
COLLAMT_INCR	AED	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLLAVALAMTEQ	AED	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
LICANCHG_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
LICOURAMND_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
LIEXADV_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No

CLAIM\_CUST\_AMT - Party Details

Transfer Type

Charge Details

Netting Indicator

Receiver  
WFBUS65

Payment Details

Sender To Receiver 1  
Only /BX/XXX format is allowed

Sender To Receiver 2  
/BX/XXX or //XXX format is allowed

Sender To Receiver 3  
/BX/XXX or //XXX format is allowed

Sender To Receiver 4  
/BX/XXX or //XXX format is allowed

Sender To Receiver 5  
/BX/XXX or //XXX format is allowed

Sender To Receiver 6  
/BX/XXX or //XXX format is allowed

Remittance Information

Payment Detail 1

Payment Detail 2

Payment Detail 3

Payment Detail 4

audit

Request ClarificationRejectReferHoldCancelSave & CloseBackNe

**3.3.7.1** Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Currency Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Currency Event	System displays the current event as Y or N.	

**3.3.7.2** Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	

Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBT FPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	



Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	

### 3.3.8 Summary

User can review the summary screen of details of Data Enrichment stage of Islamic Guarantee/SBLC Advise Cancellation request.

User can see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

**Oracle** (DEFAULT/IDENTITY) Oracle Banking Trade Financ... April 20, 2022 ATEST11

**Islamic Guarantee Advise Cancellation DataEnrichment :: Application No:- K2IGAD000008804**

Clarification Details Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

**Summary**

**Main**

SBLC/Guarantee Type : **BILL**  
Submission Mode : **Desk**  
Date of Issue : **2022-04-20**

**Guarantee Preference**

FFT Code 1 : **SND2RECMT767**

**Additional Fields**

Click here to view Additional : fields

**Advices**

Advice 1 : **LC\_CANCEL\_AD...**  
Advice 2 : **PAYMENT\_MESS...**

**Limits and Collaterals**

Contribution Currency :  
Amount to Earmark :  
Limit Status : **Not Verified**  
Collateral Currency :  
Collateral Contr. :  
Collateral Status : **Not Verified**  
Deposit Linkage Currency :  
Deposit Linkage Amount :

**Commission, Charges and Taxes**

Charge : **GBP 50.00**  
Commission :  
Tax :  
Block Status : **Not Initiated**

**Preview Message**

Language : **ENG**  
Preview Message : -

**Compliance details**

KYC : **Not Initiate...**  
Sanctions : **Not Initiate...**  
AML : **Not Initiate...**

**Accounting Details**

Event : **CANC**  
AccountNumber : **PK2001044001...**  
Branch : **PK2**

Request Clarification Reject Refer Hold Cancel Save & Close Back Submit

### Tiles Displayed in Summary

- **Main** - User can view the details about application and Guarantee/Standby. User can only view but cannot edit any of the details.
- **Guarantee Preference** - User can view the Guarantee preference details. User can only view but cannot edit any of the details.
- **Additional Fields** - User can view the UDF maintained.
- **Advices** - User can view the advices details.
- **Limits and Collaterals** - User can view the captured details of limits and collateral. User can only view but cannot edit any of the details.
- **Commission, Charges, Taxes** - User can view the charge details. User can only view but cannot edit any of the details.
- **Preview Message** - User can have a preview of the message.
- **Compliance Details** - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- **Accounting Details** - User can view the accounting entries generated by back office system.

### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

### 3.3.8.1 Exception (Approval) - User can view the exception (Approval) details.**Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"><li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li><li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li></ul>	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Submit	<p>Task will get moved to next logical stage of Guarantee Advise Cancellation.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	

## 3.4 Multi Level Authorization

A User can view the summary of details updated in multilevel approval stage of Islamic Guarantee Advise Cancel request.

As an approver user, log in into OBTFPM application the Guarantee/SBLC Cancellation task should be available in the Free Task. The user can acquire the task and able to see the summary tiles. The tiles should display a list of important fields with values. The user can drill down from summary Tiles into respective data segments where I verify the details of all fields under the data segment.

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### Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

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### 3.4.1 Re-Key Authorization

If rekey authorization set up is available, then on clicking Acquire, the task will land on the rekey authorization screen otherwise the task will land on the summary screen.

Approval Rekey

Documents Remarks

Undertaking Amount

£25,000.00 ✓

Undertaking Currency

GBP ✓

Refer Close Proceed

The user can view the details of multilevel approval stage of Guarantee Cancellation request in the Summary screen.

Click Next to view the Summary

### 3.4.1.1 Documents and Checklist: Documents:

The approver user can view the uploaded documents and verify the same. Verify the uploaded documents.

**Checklist:** The approver user can verify the uploaded documents.

**Remarks:** The approver user can view the remarks captured during various stages.

**Incoming Message:** As approval user, I should be able to view the incoming message, if the process is initiated through STP of incoming MT 767.

### 3.4.1.2 Approval Summary Screen

mic Guarantee Advise Cancellation  
Approval Task Level 1 : Application No:- PK2IGAD000071793

DocumentsRemarksOverridesCustomer InstructionIncoming MessageView Undertaking

<b>Main</b>	<b>Guarantee Preference</b>	<b>Additional Fields</b>	<b>Advices</b>	<b>Limits and Collaterals</b>
LC/Guarantee Type : <b>OTHR</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2021-05-05</b>	FFT Code 1 : FFT Code 2 :	Click here to view Additional fields :	Advice 1 : Advice 2 :	Limit Currency : Limit Contribution : Limit Status : <b>Not Verified</b> Collateral Currency : <b>GBP</b> Collateral Contr. : <b>96.96</b> Collateral Status : <b>Not Verified</b>
<b>Commission, Charges and Taxes</b>	<b>Preview Message</b>	<b>Compliance details</b>	<b>Accounting Details</b>	<b>Exception (Approval)</b>
Charge : Commission : Tax : Check Status : <b>Not Initia</b>	Language : <b>ENG</b> Preview Message : -	KYC : <b>Not Initiate...</b> Sanctions : <b>Not Initiate...</b> AML : <b>Not Initiate...</b>	Event : <b>CANC</b> Account Number : <b>520000002</b> Branch : <b>032</b>	EXCEPTION : <b>Nil</b>

edit

RejectHoldReferCancelApprove

#### Tiles Displayed in Summary

- **Main** - User can view the details about application and Guarantee/Standby. User can only view but cannot edit any of the details.
- **Guarantee Preference** - User can view the Guarantee preference details. User can only view but cannot edit any of the details.
- **Additional Fields** - User can view the UDF maintained.
- **Additional Details** - User can view the User Defined Field details. User can only view but cannot edit any of the details.
- **Advices** - User can view the advices details.
- **Limits and Collaterals** - User can view the captured details of limits and collateral. User can only view but cannot edit any of the details.
- **Commission, Charges, Taxes** - User can view the charge details. User can only view but cannot edit any of the details.
- **Preview Message** - User can have a preview of the message.
- **Compliance Details** - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- **Accounting Details** - User can view the accounting entries generated by back office system.

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**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

**3.4.1.3 Action Buttons**

Use action buttons based on the description in the following table:

Field	Description
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others. The user would be able to select a Reject code and give a Reject Description</li></ul> <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes.</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul>
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>

### **3.4.2    Handoff:**

On Approve, the task is handed off to the back office (LCDGUAMD) for postings. In the back office, the relevant accounting entries are posted, advises are generated, charges and tax to be collected are posted.

In case there is a failure in Handoff, the task lands to retry handoff queue. The user can manually try to initiate handoff.



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